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Cov Ntaub Ntawv Yuav Khoom Yog Qhov Kev Tswj Hwm

Heather Grizzle · August 30, 2026

Zaj lus tshaj tawm no hais tias kev tswj hwm AI thiaj li muaj tseeb tiag tiag nyob rau hauv daim ntawv qhia txog kev yuav khoom thiab kev pab cuam xwb, qhov chaw uas cov koom haum yuav tsum sau tseg cov pov thawj los txhawb kev txiav txim siab siv kom raug rau cov neeg xiam oob khab.



1. Cov Ntsiab Lus

1. Lus Piav Qhia Dav Dav
2. Kev Tswj Hwm Thaum Kawg Yuav Tsum Mus Txog Qhov Kev Ua Lag Luam
3. Cov Ntaub Ntawv Qhov Kev Yuav Khoom Ua Ib Cov Cuab Yeej Rau Kev Lav Ris
4. 1. Kev Siv Raws Li Xav Tau thiab Kev Siv Raug Txwv
5. 2. Kev Tshuaj Xyuas Cov Pej Xeem Raug Cuam Tshuam
6. 3. Cov Ntaub Ntawv Cov Lus Tuav Ntawm Tus Tuav Lag Luam
7. 4. Kev Lees Paub Ywj Pheej
8. 5. Tsim Kev Tsis Ua Tau thiab Kev Npaj Kho (Failure and Fallback Architecture)
9. 6. Cov Kev Txwv ntawm Kev Ua Haujlwm thiab Cov Qhov Tsis Paub (Performance Boundaries and Known Unknowns)
10. 7. Kev Sau Ntaub Ntawv, Kev Taug Qab, thiab Kev Rov Ua Dua (Logging, Traceability, and Reconstruction)
11. 8. Kev Tsis Txaus Siab, Kev Tawm Tsam, thiab Kev Kho Dua (Complaint, Contestability, and Redress)
12. 9. Kev Hloov Qauv Lub Kaw Lus thiab Kev Rov Ntsuas Dua (Model Change and Revalidation)
13. 10. Cai Cheem thiab Cai Tawm (Suspension and Exit Authority)
14. Los ntawm Txoj Cai AI mus rau Cov Pov Thawj ntawm Lub Koom Haum
15. NCG Txoj Cai Kev Xav
16. Kev Xaus

Los ntawm Heather Grizzle, Novara Consulting Group

2. Lus Piav Qhia Dav Dav

Kev tswj hwm rau kev txawj ntse yam (artificial intelligence) niaj hnub raug qhia tawm los ntawm cov cai, pawg neeg saib xyuas, cov hauv paus ntsiab lus, cov ntaub ntawv sau tseg,



thiab kev faib hom kev pheej hmoo. Cov qauv ntawd tseem ceeb. Tiamsis thaum ib lub koom haum pej xeem qhov tseeb yuav thiab siv ib lub AI system, kev tswj hwm thaum kawg yuav tsum los ua qhov tsis dab tsi ntxiv lawm. Nws yuav tsum los ua ib daim ntawv sau tseg.

Someone has to describe what the system is allowed to do. Someone has to decide what evidence is sufficient to support the vendor's claims. Someone has to identify the people who may be harmed when the system fails. Someone has to determine which failures require human intervention, which changes require reevaluation, and which conditions require the system to be suspended. If those decisions are not documented before deployment, an AI governance policy does not cure the omission. The procurement file is where institutional principles become operational commitments.

TL;DR

Rau cov AI systems uas cuam tshuam rau cov neeg xiam oob khab, cov ntaub ntawv qhov kev yuav khoom yuav tsum muaj pov thawj txaus los teb ib lo lus nug yooj yim tom qab muaj teeb meem tshwm sim: vim li cas lub koom haum thiaj ntseeg tau tias lub system no tsim nyog rau qhov kev siv no, rau cov pej xeem no, nyob rau cov xwm txheej no? Yog tias tsis muaj peev xwm rov tsim tau qhov teb los ntawm cov ntaub ntawv, lub koom haum tswj hwm tsis tau txhij ua ntej lub system pib siv.

3. Kev Tswj Hwm Thaum Kawg Yuav Tsum Mus Txog Qhov Kev Ua Lag Luam

Ib qhov kev pab thaum lwam yam tsis tau, yog tsuas yog ib qho kev tiv thaiv yog tus neeg xav tau nws tuaj yeem siv tau tiag tiag.

Heather Grizzle

Organizations are building increasingly sophisticated AI governance structures. They establish responsible AI principles, create review committees, maintain inventories, and classify systems according to risk. They adopt policies requiring fairness, transparency,



accountability, privacy, accessibility, and human oversight. Then procurement begins. A vendor responds to a solicitation. Product demonstrations are scheduled. Accessibility documentation is collected. Security questionnaires are completed. Legal terms are negotiated. Business owners describe the efficiencies they expect. The technology clears the institution's established gates and enters service.

Qhov muaj cov qhov rooj no tuaj yeem tsim kev ntseeg siab loj hauv koom haum, tiamsis kev ntseeg siab tsis yog ib yam li ib qho kev txiav txim uas muaj laj thawj tsim nyog. Lo lus nug tseem ceeb ntawm kev tswj hwm tsis yog tsuas yog seb lub system puas dhau cov txheej txheem raug cai xwb. Nws yog seb cov ntaub ntawv tshwm sim puas muaj pov thawj tsim nyog los txhawb qhov kev siv tshwj xeeb uas raug pom zoo.

That distinction becomes especially important when AI mediates access for disabled people. A system may summarize information, communicate with patients, evaluate applicants, translate language, generate instructional material, prioritize cases, assist employees, authenticate users, answer questions, or, increasingly, act on behalf of an institution. In each case, accessibility is not simply a characteristic of the interface. It is part of the decision architecture. A disabled person may encounter a system differently from the population on which it was primarily designed, tested, or validated, and an accessibility failure may alter the information that person receives, the choices available to them, their ability to respond, or the institution's interpretation of their behavior. The procurement record therefore needs to establish more than whether accessibility was considered. It needs to establish what was considered, what was tested, what remains unknown, and what the institution decided to do about the uncertainty.

COV NTAUB NTAUV QHOV KEV YUAV KHOOM UA IB COV CUAB YEEJ RAU KEV LAV RIS

4. Cov Ntaub Ntawv Qhov Kev Yuav Khoom Ua Ib Cov Cuab Yeej Rau Kev Lav Ris

Procurement files are often understood primarily as administrative records. For AI, they should increasingly be understood as governance instruments. A mature AI procurement record should allow an independent reviewer to reconstruct the institution's reasoning without relying on the institutional memory of the people who participated in the purchase. That means the record should answer several questions:



1. Lub system raug yuav los ua dab tsi tiag tiag?
2. Nws raug txwv tsis pub ua dab tsi?
3. Cov pej xeeb twg raug xav tias yuav ntsib nws?
4. Pov thawj twg txhawb cov lus tuav siv thaum pom zoo, thiab leej twg tsim cov pov thawj ntawd?
5. Kev txwv twg raug paub, thiab kev txwv twg tseem tsis tau paub?
6. Muaj dab tsi tshwm sim thaum lub system tsis paub tseeb lossis yuam kev?
7. Leej twg muaj cai hla dhau nws?
8. Ib tug neeg raug cuam tshuam yuav ua li cas thiaj tuaj yeem thov kom hloov cov lus tawm los?
9. Yam dab tsi yuav ua rau lub koom haum rov xav txog qhov kev siv ntawd?
10. Leej twg muaj cai kom nres nws?

Cov no tsis yog cov lus nug rau qhov kev tshawb fawb teeb meem tom qab muaj kev ua tsis tiav. Lawv yog cov lus nug ntawm qhov kev yuav khoom.

1. KEV SIV RAWS LI XAV TAU THIAB KEV SIV RAUG TXWV

5. 1. Kev Siv Raws Li Xav Tau thiab Kev Siv Raug Txwv

Qhov thawj yuav tsum tau ua zoo li yooj yim tiamsis tiag tiag nyuaj: teev tseg tias lub koom haum yuav dab tsi. Cov AI systems feem ntau raug yuav los ntawm cov lus piav qhia dav dav txog peev xwm. Ib lub system yuav raug piav qhia tias yog ib tug pab, tus txhais lus, cuab yeej nkag tau yooj yim, system pab txiav txim, chatbot, agent, cuab yeej ntsuam xyuas, lossis platform pab kom ua haujlwm tau zoo. Cov npe ntawd tsis txaus rau kev qhia txog kev tswj hwm. Cov ntaub ntawv qhov kev yuav khoom yuav tsum qhia meej txog qhov haujlwm tiag tiag ntawm lub koom haum.

Ib cov cuab yeej sib txuas lus siv los pab ib tug neeg taug kev hauv ib lub website muaj kev pheej hmoo txawv ntawm tib yam thev naus laus zis siv thaum lub sij hawm tuav cov ntaub ntawv kho mob. Ib lub system sau lus raws sij hawm tiag tiag siv los tsim cov ntawv sau tseg rooj sib tham muaj kev pheej hmoo txawv ntawm tus uas siv los tsim cov ntaub ntawv raug cai rau qhov kev tshawb xyuas kev cai. Ib lub system paub lus tes (sign language) siv los sim los



ntawm ib tug neeg tsis zoo sib xws li tus uas ib lub koom haum qhia tias yog kev hloov pauv rau lwm txoj kev nkag mus rau kev sib txuas lus. Yog li ntawd, tib yam thev naus laus zis tuaj yeem hloov ntawm cov chav kev pheej hmoo yam tsis muaj kev hloov rau nws tus qauv hauv paus, vim tias qhov chaw siv tau hloov lawm.

TEEV TSEG QHOV CIAM TEB UA NTEJ QHOV YOOJ YIM YUAV TSHEM NWS TAWM

Cov ntaub ntawv qhov kev yuav khoom yuav tsum qhia tseg qhov kev siv raws li xav tau thiab qhov kev siv raug txwv. Yog tsis muaj qhov kev txwv, cov koom haum tsim kev nthuav dav uas tuaj yeem twv tau ua ntej: ib lub cuab yeej pom zoo rau ib qho haujlwm txwv tsuas los muaj rau txhua tus, cov neeg ua haujlwm nrhiav pom lwm cov kev siv ntxiv, thiab qhov kev siv ntawm lub koom haum ntxiv ntxiv tshaj cov pov thawj uas qhov kev pom zoo thaum ub tau txhawb rau.

2. KEV TSHUAJ XYUAS COV PEJ XEEM RAUG CUAM TSHUAM

6. 2. Kev Tshuaj Xyuas Cov Pej Xeem Raug Cuam Tshuam

Kev yuav khoom thev naus laus zis raws li ib txwm hais nug leej twg yuav siv lub system. Kev tswj hwm AI yuav tsum tau nug leej twg yuav raug cuam tshuam los ntawm nws thiab, thiab cov pej xeem ntawd tsis yog ib yam. Ib tug neeg ua haujlwm yuav tsum ua haujlwm nrog ib lub AI system thaum ib tug neeg mob, tub ntxhais kawm ntawv, tus thov, tus nyob, tus khach, lossis tus tau txais txiaj ntsig tau txais cov txiaj ntsig ntawm nws. Cov neeg xiam oob khab yuav muaj feem nyuaj heev los txheeb xyuas los ntawm kev tshuaj xyuas tus siv raws li ib txwm, vim tias xiam oob khab feem ntau hloov txoj kev taug hauv ib lub system tsis yog hloov hom neeg siv raws li npe.

The procurement record should therefore identify foreseeable accessibility interactions. Does the system process speech? Does it generate or interpret language? Does it depend on vision, hearing, dexterity, cognition, timing, biometric characteristics, or a particular mode of communication? Does it infer intent or competence from behavior that disability may affect? Does an inaccessible output alter a person's ability to participate in a subsequent decision? These questions convert accessibility from a compliance artifact into a system-risk inquiry.



3. COV NTAUB NTAUV COV LUS TUAV NTAWM TUS TUAV LAG LUAM

7. 3. Cov Ntaub Ntawv Cov Lus Tuav Ntawm Tus Tuav Lag Luam

Every consequential vendor claim relied upon during procurement should have an evidentiary status. Not every claim requires independent testing, but institutions should distinguish among at least three categories. A capability is claimed when the vendor states that it exists. It is demonstrated when evidence shows that it has been observed under specified conditions. It is established for deployment when evidence reasonably supports reliance on it in the institution's intended context. Those categories are not interchangeable.

Ib qho kev qhia tuaj yeem tsim tau tias ib lub system muaj peev xwm tsim tau ib qho lus tawm. Nws tsis tas yuav tsim tau seb nws tsim cov lus tawm ntawd zoo li cas nyob rau ntau hom pej xeem, chaw nyob, lossis cov teeb meem tseem ceeb. Ib yam nkaus, cov ntaub ntawv tuaj yeem tsim tau seb tus tuav lag luam hais txog nws lub system li cas. Nws tsis tuaj yeem ywj pheej tsim tau tias qhov kev hais ntawd yog qhov tseeb. Cov ntaub ntawv qhov kev yuav khoom yuav tsum khaws qhov sib txawv no. Yog tsis ua li ntawd, cov lus hais yuav zuj zus los ua cov kev pom raws li tsuas yog vim tias lawv tau rov hais dua ntau zaub hauv ntau daim ntawv.

Tsim tau rau kev siv

A vendor claim status meaning evidence reasonably supports reliance on the capability in the institution's actual, intended context, not merely that the capability has been observed under demonstration conditions.

4. KEV LEES PAUB YWJ PHEEJ

8. 4. Kev Lees Paub Ywj Pheej

Cov pov thawj ntawm tus tuav lag luam muaj feem tseem ceeb hauv qhov kev yuav khoom. Cov tuav lag luam paub lawv lub system zoo tshaj cov neeg yuav khoom thiab yuav tsum raug



xav tias yuav qhia txog kev kuaj, qauv tsim, kev txwv, cov xwm txheej ua tsis tiav uas paub tau, thiab cov pov thawj kev ua tau zoo tseem ceeb. Tiamsis kev sib raug zoo hauv kev lag luam muaj feem tseem ceeb. Tus neeg nrhiav kev pom zoo cog lus tsis tsim nyog yog tib tug neeg txiav txim seb cov pov thawj puas txaus los pom zoo rau qhov cog lus.

Independent assurance does not mean recreating the vendor's engineering program. It means testing the claims that matter to the purchasing decision under conditions capable of disproving them. For accessibility-related AI, this may require evaluation involving people with the relevant disability and, where linguistic access is involved, qualified members of the language community. The objective is not ceremonial participation. It is evidentiary authority. The people capable of identifying a consequential failure must have a mechanism for causing that failure to affect the procurement decision. Otherwise, participation exists without governance.

5. TSIM KEV TSIS UA TAU THIAB KEV NPAJ KHO (FAILURE AND FALLBACK ARCHITECTURE)

9. 5. Tsim Kev Tsis Ua Tau thiab Kev Npaj Kho (Failure and Fallback Architecture)

Every AI procurement should contain a written answer to a single question: what happens when the system does not work? "Human in the loop" is not an adequate answer. The record should identify the human, the trigger, the authority, the response time, and the alternative pathway available to the affected person.

Rau cov neeg tsis taus, txoj hauv kev pab kho ntxiv (fallback) yeej yuav tsum nkag tau siv thiab. Ib lub kaw lus AI uas tsis ua haujlwm thiab qhia kom tus neeg Deaf hu xov tooj, tsis yog ib txoj kev pab kho ntxiv tiag tiag. Ib txoj kev ua haujlwm digital automated uas nkag tsis tau siv thiab yuav kom tus neeg dig muag mus ua tib qho kev sib txuas lus uas nkag tsis tau siv, tsis tau xa tus neeg mus rau qhov kev saib xyuas ntawm neeg tiag tiag li cas li.

6. COV KEV TXWV NTAWM KEV UA HAUJLWM THIAB COV QHOV TSIS PAUB (PERFORMANCE B

Ib qhov kev pab thaum
lwm yam tsis tau, yog
tsuas yog ib qho kev tiv



10. 6. Cov Kev Txwv ntawm Kev Ua Haujlwm thiab Cov Qhov Tsis Paub (Performance Boundaries and Known Unknowns)

thaiv yog tus neeg xav tau nws tuaj yeem siv tau tiag tiag.

Heather Grizzle

Cov ntaub ntawv nqus khoom feem ntau yog sau qhia txog qhov khoom siv ua tau dab tsi. Cov ntaub ntawv kav tswj (governance records) kuj yuav tsum qhia meej seb qhov kev ntseeg siab txog qhov ua tau tas li li cas. Kev ua haujlwm ntawm AI muaj kev txwv. Nws yuav sib txawv raws li hom lus, lus hais, lus hais raws xeeb, txoj kev teem cim tes (signing style), lub teeb, qhov chaw ntawm koob yees duab, kev tsis taus, cov cuab yeej siv, ib puag ncig, cov lus tshwj xeeb hauv kev kawm, sij hawm sib tham ntev npaum li cas, cov yam ntxwv ntawm pej xeeb, lossis lwm yam ntau tshaj. Cov koom haum yuav tsum kom tus muag khoom qhia meej txog qhov kev txwv ntawm kev ua haujlwm uas paub tseeb, thiab yuav tsum sau tseg cais lawv rau cov ceg chaw uas tsis tau soj ntsuam.

Txoj kev cais no tseem ceeb. "Tsis tau soj ntsuam" tsis yog ib yam nrog "ua tsis tau", tab sis kuj tsis yog ib yam nrog "muaj kev nyab xeeb" thiab. Qhov tsis paub tseeb tsis yog ib qho yuam kev hauv kev nqus khoom yog tias tau lees paub thiab tswj hwm. Tab sis qhov tsis paub tseeb uas tsis tau sau tseg yog ib qho yuam kev tiag tiag.

7. KEV SAU NTAUB NTAWV, KEV TAUG QAB, THIAB KEV ROV UA DUA (LOGGING, TRACEABILITY, AND RECONSTRUCTION)

11. 7. Kev Sau Ntaub Ntawv, Kev Taug Qab, thiab Kev Rov Ua Dua (Logging, Traceability, and Reconstruction)

Thaum ib qho kev sib txuas lus siv AI ua rau muaj kev puas tsuaj, lub koom haum yuav tsum muaj peev xwm rov qab txheeb xyuas seb dab tsi tau tshwm sim, thiab qhov ntawd yuav tsum muaj kev txiav txim siab txog kev sau ntaub ntawv (logging) ua ntej siv lub cuab yeej. Lub kaw lus version twg tab tom ua haujlwm? Cov ntaub ntawv tau txais yog dab tsi? Cov lus teb tau tsim tawm yog dab tsi? Cov lus teb ntawd puas raug hloov? Puas muaj neeg tiag tiag tshuaj xyuas? Tus neeg tshuaj xyuas ntawd pom cov ntaub ntawv dab tsi? Muaj dab tsi ua raws



ntawd? Lub koom haum puas ua tau dua qhov xwm txheej tsis tas yuav khaws cov ntaub ntawv uas tsim nyog tsis khaws thawj zaug?

Kev taug qab thiab kev tswj kom ceev ntiag tug (privacy) yeej yuav muaj kev sib txawv tiag tiag. Qhov kev sib cav ntawd yuav tsum daws los ntawm kev tsim lub kaw lus thiab kev kav tswj, tsis yog nrhiav pom thaum lub sij hawm foob hauv tsev hais plaub, ib qho kev tsis txaus siab txog kev nkag tau siv, lossis kev tshawb xyuas hauv tsev haujlwm. Cov ntaub ntawv nqus khoom yuav tsum sau tseg qhov kev daws teeb meem ntawd.

8. KEV TSIS TXAUS SIAB, KEV TAWM TSAM, THIAB KEV KHO DUA (COMPLAINT, CONTESTABILITY, AND REDRESS)

12. 8. Kev Tsis Txaus Siab, Kev Tawm Tsam, thiab Kev Kho Dua (Complaint, Contestability, and Redress)

Ib tus neeg uas raug cuam tshuam los ntawm AI xav tau ntau dua li ib txoj hauv kev qhia txog teeb meem thev naus laus zis. Lawv tej zaum yuav xav tawm tsam qhov uas lub koom haum tau ua vim yog lub kaw lus, thiab ntawd yog ib qho haujlwm sib txawv. Yog tias ib lub kaw lus AI txhais lus tsis raug, piav qhia tsis raug txog kev coj cwj pwm, tsim cov ntaub ntawv uas nkag tsis tau siv, tsim ib qho kev xam pom cuav, lossis pab tsim ib qho kev txiav txim tsis zoo ntawm lub koom haum, tus neeg raug cuam tshuam yuav tsum muaj ib txoj hauv kev tawm tsam qhov kev txiav txim ntawd.

Lub koom haum yuav tsum paub ua ntej siv lub cuab yeej seb leej twg yuav tau txais cov lus tawm tsam, leej twg yuav tshawb xyuas nws, cov pov thawj twg raug khaws cia, seb cov lus AI tau tawm tsam ntawd puas tseem ua haujlwm thaum lub sij hawm tshuaj xyuas, muaj cov kev kho dua twg, thiab lub koom haum yuav tsum teb sai npaum li cas.

IB DAIM NTAWV QHIA XAV (FEEDBACK FORM) TSIS YOG IB TXOJ HAUV KEV KHO DUA

Kev kho dua yuav tsum muaj ib tus neeg tau txais lus qhia meej, ib txoj kev tshawb xyuas, cov pov thawj khaws cia, ib qho kev txiav txim siab seb cov lus tawm tsam ntawd puas tseem siv tau thaum lub sij hawm tshuaj xyuas, cov kev kho dua meej, thiab ib hnuv kawg rau kev teb.



9. KEV HLOOV QAUV LUB KAW LUS THIAB KEV ROV NTSUAS DUA (MODEL CHANGE AND REVAL**13. 9. Kev Hloov Qauv Lub Kaw Lus thiab Kev Rov Ntsuas Dua (Model Change and Revalidation)**

Kev nqus khoom AI tsim ib qho teeb meem uas cov kev cog lus software qub tsis tau tsim los daws tau zoo: qhov khoom uas tau soj ntsuam yuav tsis yog qhov khoom uas tau siv tiag tiag. Cov qauv lus (models) raug hloov kho. Cov kev tiv thaiv hloov. Cov ntaub ntawv qhia kawm hloov. Cov qauv sib txuas lus hloov. Cov kev sib cog lus nrog lwm tog neeg (third-party) hloov. Cov tuam txhab muag khoom hloov cov qauv lus qub. Kev ua haujlwm yuav zoo dua ntawm ib qho thiab poob qis ntawm lwm qho, tsis tas yuav muaj lub koom haum uas yuav khoom pib hloov kho nws tus kheej.

Yog li ntawd, cov ntaub ntawv nqus khoom yuav tsum muaj ib txoj cai kav tswj kev hloov (change-control rule). Nqe lus nug tseem ceeb tsis yog seb tus muag khoom puas tau tso cai hloov nws tus khoom. Nws yog seb qhov kev hloov twg ua rau cov pov thawj uas lub koom haum tau tso siab tsis siv tau lawm. Cov kev cog lus yuav tsum qhia meej cov kev hloov tseem ceeb uas yuav tsum muaj kev qhia ua ntej, kev rov soj ntsuam dua, kev sim dua kom lees paub, lossis kev tso cai ua ntej siv ntxiv rau hauv cov keeb ntawm kev siv tshwj xeeb. Yog tsis ua li ntawd, ib lub koom haum yuav ua tau kev tswj kom muaj kev ntseeg siab tiag tiag thaum txais khoom, tab sis rov qab pom tias lawv tab tom kav tswj ib lub kaw lus sib txawv heev tom qab rau hli, uas siv cov pov thawj tau tsim rau qhov kaw lus qub.

10. CAI CHEEM THIAB CAI TAWM (SUSPENSION AND EXIT AUTHORITY)

14. 10. Cai Cheem thiab Cai Tawm (Suspension and Exit Authority)

Kev kav tswj yam tsis muaj cai cheem tsuas yog ib qho lus qhia xwb. Muaj ib tus neeg hauv lub koom haum yuav tsum muaj cai txwv, cheem, lossis kom tso tseg qhov kev siv AI thaum cov pov thawj tsis txaus ntseeg siab rau kev siv ntxiv, thiab cov xwm txheej uas ua rau qhov kev cheem no tshwm sim yuav tsum tsis txhob tsim thaum muaj xwm ceev.



- ☐ Kev nkag tsis tau siv rov qab tshwm sim ntau zaus
- ☐ Cov kev hloov qauv lus tseem ceeb uas tsis tau qhia
- ☐ Kev poob ntawm kev saib xyuas los ntawm neeg tiag tiag uas tsim nyog muaj
- ☐ Kev txawv heev ntawm kev ua haujlwm tam sim no piv rau qhov tau soj ntsuam lawm
- ☐ Cov kev tsis txaus siab uas tsis tau daws
- ☐ Cov pov thawj tshiab qhia txog kev puas tsuaj tshwj xeeb rau ib pab pawg neeg
- ☐ Kev ua tsis tau raws li cov ntaub ntawv qhia raws li kev cog lus
- ☐ Kev txuas ntxiv dhau ntawm qhov kev siv uas tau lees paub

Cov ntaub ntawv nqus khoom yuav tsum qhia meej ob yam: cov xwm txheej ua rau tshwm sim thiab leej twg muaj cai txiav txim siab.

Nws kuj yuav tsum hais txog kev tawm ntawm kev siv (exit). Leej twg yuav tswj lossis tau txais cov ntaub ntawv ntawm lub koom haum thaum kev sib koom tes tas? Cov ntaub ntawv twg yuav tsum khaws cia? Yam twg yuav tsum rho tawm? Lub koom haum yuav rov qab siv txoj hauv kev pab qub li cas? Yuav muaj dab tsi tshwm sim rau cov neeg uas twb vam khom rau txoj kev siv AI lawm? Kev npaj rau kev tawm tsis yog tsuas yog kev tswj cov tuam txhab muag khoom xwb. Nws yog kev npaj kom kev nkag tau siv txuas ntxiv mus.

LOS NTAWM TXOJ CAI AI MUS RAU COV POV THAWJ NTAWM LUB KOOM HAUM

15. Los ntawm Txoj Cai AI mus rau Cov Pov Thawj ntawm Lub Koom Haum

Qhov teeb meem tshiab rau kev kav tswj AI tsis yog qhov tsis txaus ntawm cov hauv paus ntsiab lus. Nws yog qhov kev txhais los ua tau. Kev ncaj ncees yuav tsum los ua ib qho kev ntsuas. Kev qhia meej yuav tsum los ua ib qho kev cai qhia. Kev saib xyuas los ntawm neeg tiag tiag yuav tsum los ua ib qho cai txiav txim siab uas tau muab. Kev nkag tau siv yuav tsum los ua ib qho kev xav tau ua ntej siv. Kev lav phib xaub yuav tsum los ua ib lub chaw haujlwm muaj npe thiab ib qho kev kho dua uas tau sau tseg. Kev soj xyuas yuav tsum los ua ib qho kev



ua tiag tiag. Thiab AI uas lav phib xaub thaum kawg yuav tsum los ua ib yam khoom uas tus neeg saib xyuas kev nqus khoom yuav tso rau hauv ib phau ntaub ntawv.

This is particularly important in public institutions because procurement is where abstract institutional commitments encounter money, contracts, vendors, statutory duties, and people who cannot simply choose another government, school, hospital, court, or public service when the technology does not work for them. The procurement file therefore performs a function much larger than recordkeeping. It establishes the institution's theory of acceptable risk.

NCG TXOJ CAI KEV XAV

16. NCG Txoj Cai Kev Xav

Novara Consulting Group holds that AI systems affecting disabled people should not be approved solely because they have satisfied an organization's general AI governance process. The procurement record should contain sufficient evidence to reconstruct and defend the specific deployment decision.

YAM TSAWG KAWG, COV NTAUB NTAUV YUAV TSUM QHIA MEEJ

- Cov kev siv uas tau npaj thiab cov kev siv uas txwv
- Cov pab pawg neeg uas yuav raug cuam tshuam raws li kev pom tau
- Qhov xwm txheej ntawm cov pov thawj los ntawm cov lus hais uas muaj txiaj ntsig ntawm tus muag khoom
- Qhov hauv paus rau kev ntseeg siab los ntawm tog neeg ib nrab uas tsim nyog
- Cov xwm txheej ua tsis tau uas paub tseeb thiab cov xwm txheej uas tsis tau sim
- Txoj kev pab thaum muaj teeb meem thiab txoj kev tib neeg tshuaj xyuas uas nkag tau yooj yim
- Cov lus qhia txog kev sau ntaub ntawv thiab kev taug qab
- Cov txheej txheem ua tsis txaus siab, kev cav yog tsis yog, thiab kev daws teeb meem
- Cov cim qhia kev hloov tseem ceeb thiab kev rov txheeb xyuas dua
- Lub koom haum txoj cai txwv, ncua, lossis txiaj kev siv



Tus qauv tsis yog kev paub tag nrho zoo tag nrho. Tsis muaj txheej txheem yuav khoom twg yuav tshem tau kev tsis paub tseeb tag nrho. Tus qauv yog kev tsis paub tseeb uas raug tswj hwm: kev paub tias yam twg tau txheeb xyuas lawm, yam twg tsis tau, cov pov thawj twg ua rau txuas ntxiv mus txawm li cas los xij, thiab leej twg ris lub luag haujlwm rau qhov kev txiav txim siab.

KEV XAUS

17. Kev Xaus

Tom qab AI ua tsis tiav, cov koom haum feem ntau nug seb tus neeg muag khoom puas yuav tsum tau ceeb toom rau lawv. Qee zaus tus lus teb yog yog. Tab sis kev lav phib xaub rau pej xeem yuav tsum muaj lwm nqe lus nug: cov pov thawj twg lub koom haum tau xav kom muaj ua ntej nws pom zoo mus rau ntxiv? Nqe lus nug ntawd tsis txhob muab cob rau lwm tus ua. Tus neeg muag khoom muaj peev xwm muab cov pov thawj. Tus neeg tshuaj xyuas muaj peev xwm ntsuas nws. Lub committee muaj peev xwm qhia lus qhia txim. Cov neeg koom nrog uas muaj xiam oob khab muaj peev xwm txheeb tau cov qhov tsis zoo uas lwm tus tsis pom. Cov kws lij choj muaj peev xwm sib tham txog kev faib cov kev pheej hmoo raws li daim ntawv cog lus. Lub koom haum tseem yog tus txiav txim siab, thiab nws cov ntaub ntawv yuav khoom yuav tsum ua pov thawj tias nws paub tias nws tab tom txiav txim siab dab tsi.

Cov ntaub ntawv yuav khoom yog qhov chaw uas kev lav phib xaub ntawd pib.

Ib lub AI system tsis raug tswj hwm vim tias lub koom haum muaj ib txoj cai AI. Nws raug tswj hwm thaum lub koom haum muaj peev xwm qhia tau tias vim li cas lub system no, rau lub hom phiaj no, cuam tshuam rau cov neeg

18. Yuav Hais Qhov Chaw Li Cas

Grizzle, H. M. (2026, August 30). Cov Ntaub Ntawv Yuav Khoom Yog Qhov Kev Tswj Hwm. Novara Consulting Group. <https://www.novaracg.com/hmn/2026/08/30/the-procurement-file-is-the-governance-system/>

